Application Number 09/510,378 TRANSMITTAL February 22, 2000 Filing Date **FORM First Named Inventor** Cronin used for all correspondence after initial filing) 1627 Group Art Unit P. Ponnaluri **Examiner Name** Attorney Docket Number al Number of Pages in This Submission 018547-004131US ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Remarks Deposit Account 20-1430. Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP and Joe Liebeschuetz Reg. No. 37,505 Individual name Signature Date July 10, 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: July 10, 2002.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB on the Internation unless are continuous.

Please type a plus sign (+) inside this box -> +

Approved for use through 10/31/2002. OMB 0651/0031

July 10, 2002

Date

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3230031 v1

Signature

Typed or printed name

Paula Faulk Hurley

Complete if Known

09/510,378 tion Number February 22, 2000 Filing Date First Named Inventor Cronin

Applicant claims small entity status. See 37 CFR 1.27

Examiner Name P. Ponnaluri 1627 Group Art Unit

| TOTAL AMOUNT OF PAYMENT (\$) 220 Atte | | | | | | orney Do | ocket No | 01 | 8547-00 | 4131US TECH ULIVI | | | | |
|--|---|----------------------|---------------|---|-------------|--|--|--|--|---|-------------|--|--|--|
| METHOD OF PAYMENT (check all that apply) | | | | | | | | | | CALCULATION (continued) | | | | |
| Check Credit Card MoneyOrder Other None | | | | | | | 3. ADDITIONAL FEES Large Entity Small Entity | | | | | | | |
| Deposit Account: | | | | | | Fee Code | Fee | Fee Code | Fee (\$) | Fee Description | Fee Paid | | | |
| Acco | | 20-143 | 0 | ** | | 105 | (\$) 130 | 205 | (3) 65 | Surcharge - late filing fee or oath | Paid | | | |
| Number | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or | | | | | | |
| | | | | l '-' | 00 | | | cover sheet. | | | | | | |
| Deposit Townsend and Townsend and | | | | | | | 130 | 139 | 130 | Non-English specification | | | | |
| Name Crew LLP | | | | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | | | | |
| The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments | | | | | | 112 | 920* | 112 | 920° | Requesting publication of SIR prior to Examiner action | | | | |
| Charge any additional fee(s) during the pendency of this application | | | | | | | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | | | |
| Charge fee(s) indicated below, except for the filing fee | | | | | | 115 | 110 | 215 | 55 | Extension for reply within first month | 110 | | | |
| to the above-identified deposit account. | | | | | | | 400 | 216 | 200 | Extension for reply within second month | | | | |
| FEE CALCULATION | | | | | | | 920 | 217 | 460 | Extension for reply within third month | | | | |
| 1. BASIC FILING FEE | | | | | | | 1,440 | 218 | 720 | Extension for reply within fourth month | | | | |
| Large Er | | Small Entity Fee Fee | - D | | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | | | |
| Fee Code | Fee (\$) | Fee Fee Code (\$) | Fee Des | Fee P | aid | 119 | 320 | 219 | 160 | Notice of Appeal | | | | |
| 101 | 740 | 201 370 | Utility filis | ng fee | | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | | | |
| 106 | 330 | 206 155 | Design fi | | | 121 | 280 | 221 | 140 | Request for oral hearing | | | | |
| 107 | 510 | 207 255 | Plant filir | · | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | | | |
| 108 | 740 | 208 370 214 80 | Reissue | | | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | | | |
| 114 | 160 | 214 80 | Provision | nal filing fee | | 141 | 1,280 | 241 | 640 | Petition to revive – unintentional | | | | |
| | SUBTOTAL (1) (\$) | | | | | | | 242 | 640 | Utility issue fee (or reissue) | | | | |
| | | | | | | | 460 | 243 | 230 | Design issue fee | | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from | | | | | | | 620 | 244 | 310 | Plant issue fee | | | | |
| Extra Claims below Fee Paid | | | | | | | 130 | 122 | 130 | Petitions to the Commissioner - | | | | |
| Total Claims 20** = X = Independent | | | | | | 123 | 50 | 123 | 50 | Petitions related to provisional applications | | | | |
| Claims Multiple | " <u></u> | -3** | = | x = [| | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | | | |
| Multiple X = Dependent Large Entity Small Entity | | | | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | | 149 | 740 | 249 | 370 | For each additional invention to be | | | | |
| 102 | 84 | 202 | 42 | Independent claims in ex- | cess of 3 | l | | | | examined (37 CFR § 1.129(b)) | | | | |
| 104 | 280 | 204 | 140 | Multiple dependent claim | • | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | | | | |
| 109 | 84 | 209 | 42 | ** Reissue independent o original patent | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | | | |
| 110 | 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | | | | | Other fee (specify) | | | | | | | | |
| SUBTOTAL (2) (\$) | | | | | | | | | | | | | | |
| or num | **or number previously paid, if greater; For Reissues, see above | | | | | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)220 | | | | | | | | |
| I | | | | | | | | | | | | | | |

| SUBMITTED BY Complete (if applicable) | | | | | | | | | | | |
|---------------------------------------|------------------|-----------------------------------|--------|-----------|---------------|--|--|--|--|--|--|
| Name (Print/Type) | Joe Liebeschuetz | Registration No. (Attorney/Agent) | 37,505 | Telephone | 650-326-2400 | | | | | | |
| Signature | Chely | λ | | Date | July 10, 2002 | | | | | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3230024 v1

COPY OF PAPERS ORIGINALLY FILE

I hereby certify that this corresponde States Postal Service as tirst class in Chira

Attorney Docket No.: 018547-0041

Client Ref. No.: 1046.

Assistant Commissioner for Patents Washington, D.C. 20231

TOWNSEND and TOWNSEND and CREW LLF

July 10, 2002

RECEIVED

1111 2 2 2 2002

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cronin et al.

Application No.: 09/510,378

Filed: February 22, 2000

For: ARRAYS OF NUCLEIC ACID PROBES ON BIOLOGICAL CHIPS

Examiner: P. Ponnaluri

Art Unit: 1627

AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Office Action mailed March 15, 2002, please amend the above-identified application as follows:

IN THE SPECIFICATION:

On page 9, at lines 30-34, replace the paragraph with the following:

-- Fig. 1: Basic tiling strategy. The figure illustrates the relationship between an interrogation position (I) and a corresponding nucleotide (n) in the reference sequence (SEQ. ID. No. 192), and between a probe from the first probe set and corresponding probes from second, third and fourth probe sets.--